

IAP7 Rec'd PCT/PTO 06 JAN 2006 #4

Express Mail Label No. _____

Dated: _____

Docket No.: 05986/100K560-US1
(PATENT)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Blas Frangione et al:

Application No.: 10/540,294

Confirmation No.: N/A

Filed: June 20, 2005

Art Unit: N/A

For: METHODS FOR TREATING AMYLOID
DISEASES

Examiner: Not Yet Assigned

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

MS Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Response To Notification of Missing Requirements Under 35 U.S.C.
371 – Filing Date Granted mailed November 8, 2005, Applicant respectfully presents the following:

- A USPTO stamped return receipt postcard, dated June 20, 2005, acknowledging the receipt of Check number 8950 in the amount of \$300.00
- A USPTO stamped return receipt postcard, dated October 17, 2005, acknowledging the receipt of a Combined Declaration and Power of Attorney (8 pages) as well as Check number 10077 in the amount of \$280.00. A copy of the Combined Declaration and Power of Attorney, as submitted on October 17, 2005, is also enclosed herein.

- The Sequence Listing on a computer readable diskette, a paper copy of the sequence listing (1 page), a Statement Pursuant to 37 CFR 1.821(f).
- The search fee of \$50.00 (small entity) was paid to the USPTO on June 20, 2005, check number 8950, which was before the July 1, 2005 deadline.
- The examination fee of \$100.00 (small entity) was paid to the USPTO on June 20, 2005, check number 8950, which was before the July 1, 2005 deadline.
- Fee Transmittal
- Part 2 Copy of Notice

Please note: \$300.00 Paid on June 20, 2005

Adjusted date: 01/19/2006 MKAYPAGH
10/20/2005 MKAYPAGH 00000095 10540294

01 FC:2617 -65.00 DP
02 FC:2615 -175.00 CP

\$280.00 Paid on October 17, 2005

\$580.00 Total amount paid to USPTO for U.S. Application
Serial Number 10/540,294

Basic Fees Owed for 10/540,294: \$300.00

Excess Claim Fees Owed: \$175.00

Surcharge-Oath or Declaration Late filing fee: \$ 65.00

Recordation of the Assignment fee: \$ 40.00

Total Amount Owed USPTO: \$580.00


Total Amount Paid USPTO: \$580.00

**Total monies owed to USPTO for Patent Application Serial Number 10/540,294 as
of November 8, 2005 = \$0**

The Commissioner is authorized to charge any deficiency of up to \$300.00 or credit any excess in this fee to Deposit Account No. 04-0100.

Dated: January 6, 2006

Respectfully submitted,

By  *Heather Morehouse Ettinger*
(53,970)
Heather Morehouse Ettinger, Ph.D.
Registration No.: 51,658
DARBY & DARBY P.C.
P.O. Box 5257
New York, New York 10150-5257
(212) 527-7719
(212) 527-7701 (Fax)
Attorneys/Agents For Applicant

01/09/2006 10:07:00 00000042 10540294

01 00 1572	500.00 01
02 00 1572	200.00 02
03 00 1572	350.00 03
04 00 1572	1000.00 04
05 00 1572	200.00 05
06 00 1572	200.00 06



Atty Docket No.: 05986/100K560-US1

Inventor: Blas Frangione et al.

10/540294

Appln: Not Yet Assigned Filed: Concurrently
Herewith

Title: METHODS FOR TREATING AMYLOID DISEASES

Documents:

Application Data Sheet (2 pages)
Transmittal Letter to the US Designated-Elected Office (2 pages)
Affirmation of Priority Claim (1 page)
PCT/B/304 (1 page)
Certificate of Express Mailing (1 page)
Check in the amount of \$300.00

8950

Via: Express Mail: Airbill No. EV441163640-US

Sender Initials SPL/mrc Date: June 20, 2005

DBP

JC10 Rec'd PCT/PTO 20 JUN 2005



Att Docket No. 05986/100K560-US1

Inventor: Blas Frangione et al.

Appln: 10/540,294

Filed: Jun. 20, 2005

Title: METHODS FOR TREATING AMYLOID DISEASES

Documents:

- ☒ Transmittal Letter to the United States
- ☒ Designated-Elected Office (3 pages)
- ☒ Fee Transmittal (1 page)
- ☒ Combined Declaration and Power of Attorney (8 pages)
- ☒ PTO 4395 - Recordation Form Cover Sheet (1 page)
- ☒ Assignment (4 pages)
- ☒ Information Disclosure Statement (2 pages)
- ☒ PTO SB/08 (2 pages)
- ☒ 28 Documents
- ☒ Certificate of Express Mailing (1 page)
- ☒ Check no. 16027 in the amount of \$280.00
- ☒ PTO Communication (1 page)

1006 Rec'd PCT/PTO 17 OCT 2005

Via Express Mail: Airbill No.:

FV692132307-US

Sender Initials: HME/ekc Date: October 17, 2005

L16



UNITED STATES PATENT AND TRADEMARK OFFICE

H. Ettinger
 UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/540,294	FIRST NAMED APPLICANT <i>SEQ.</i> Blas Frangione	ATTY. DOCKET NO. 05986/100K560-US1
INTERNATIONAL APPLICATION NO. PCT/US03/40744		
I.A. FILING DATE 12/18/2003		PRIORITY DATE 12/19/2002
DUE: <i>January 8, 2006</i> Docketed on <i>11/16</i> by <i>DP</i> for		
Docketed without file <input type="checkbox"/>		
Attorney <i>Jos 11/21/05</i>		CONFIRMATION NO. 8280 371 FORMALITIES LETTER *OC000000017413697*

Date Mailed: 11/08/2005

6-8-06
NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/20/2005
- U.S. Basic National Fees filed on 06/20/2005
- Priority Documents filed on 06/20/2005
- Specification filed on 06/20/2005
- Claims filed on 06/20/2005
- Abstracts filed on 06/20/2005
- Drawings filed on 06/20/2005
- Paper nucleotide sequence listings filed on 06/20/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$300 for a Large Entity:

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604

(September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", **as well as an amendment specifically directing its entry into the application.**

- Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
 - The application search fee has not been paid. Applicant must submit \$100 to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
 - The application examination fee has not been paid. Applicant must submit \$200 to complete the examination fee for a non-small entity. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

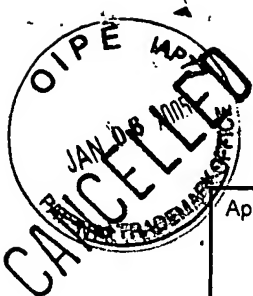
VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/540,294	PCT/US03/40744	05986/100K560-US1

FORM PCT/DO/EO/905 (371 Formalities Notice)

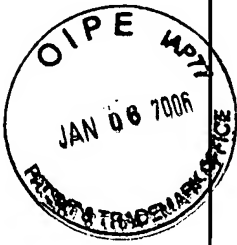


01-17-06

PCT

Application No. (if known): 10/540,294

Attorney Docket No.: 05986/100K560-US1



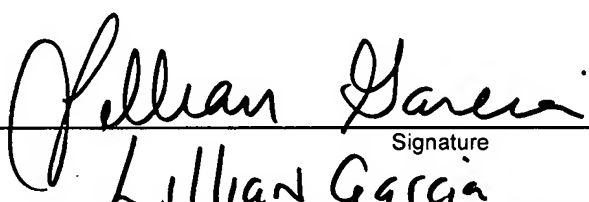
Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. _____ in an envelope addressed to:

EV778821881-US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

on January 6, 2006
Date



Signature

Lillian Garcia

Typed or printed name of person signing Certificate

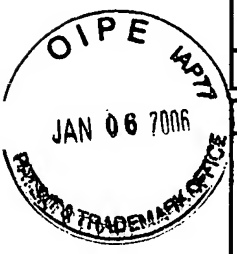
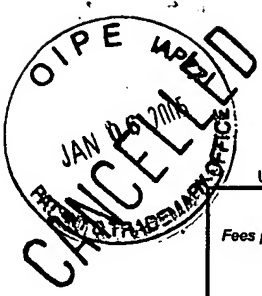
Registration Number, if applicable _____

Telephone Number _____

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- Fee Transmittal (1 page)
- Copy of Return Receipt Postcard, dated June 20, 2005
- Copy of Return Receipt Postcard, dated October 17, 2005
- Combined Declaration and Power of Attorney (8 pages)
- Response to Notice to File Missing Parts of Application (3 pages)
- Computer Readable Sequence Listing (1 Diskette)
- Sequence Listing Paper Copy (1 copy)
- Statement Pursuant to 37 CFR 1.821(f) (2 pages)
- Part 2 Copy of Notice
- Return Receipt Postcard

**RECEIVED
OIPE/IAP
JAN 17 2006**



Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete if Known	
FEE TRANSMITTAL For FY 2005		Application Number	10/540,294
		Filing Date	June 20, 2005
		First Named Inventor	Blas Frangione
		Examiner Name	Not Yet Assigned
		Art Unit	N/A
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	05986/100K560-US1
TOTAL AMOUNT OF PAYMENT	(\$)	0	

METHOD OF PAYMENT (check all that apply)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> None	<input type="checkbox"/> Other (please identify):
<input type="checkbox"/> Deposit Account		Deposit Account Number: 04-0100 Deposit Account Name: Darby & Darby P.C.		
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)				
<input type="checkbox"/> Charge fee(s) indicated below		<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee		
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17		<input checked="" type="checkbox"/> Credit any overpayments		

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	300.00 (Paid 6/20/05)
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

				Fee (\$)	Fee (\$)
<u>Fee Description</u>					
Each claim over 20 (including Reissues)				50	25
Each independent claim over 3 (including Reissues)				200	100
Multiple dependent claims				360	180
<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	
27	= 7	x 350.00	= 175.00 (Pd 10/17/05)	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>		
2	= 0	x	=		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
	- 100 =	/50	(round up to a whole number) x	

4. OTHER FEE(S)

Non-English Specification,

Other (e.g., late filing surcharge): 2051 Surcharge-Late filing fee 65.00 (Pd 10/17/05)

SUBMITTED BY			
Signature	<i>Heather Morehouse Ettinger</i> (53970)	Registration No. (Attorney/Agent)	51,658
Name (Print/Type)	Heather Morehouse Ettinger, Ph.D.	Telephone	(212) 836-3744
		Date	January 6, 2006

Express Mail Label No.

Dated: _____